

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
29678-1

Total Pages in this Submission  
61

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

SPINAL PLATE ASSEMBLY

and invented by:

Kamaljit S. Paul

19249 U.S. PTO  
10/621065  
07/24/03

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/202,705

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/014,409

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 42 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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**(Small Entity)**

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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*  
a. ☒ Formal      b. ☐ Informal      Number of Sheets 5
4. ☒ Oath or Declaration  
a. ☒ Newly executed *(original or copy)*      ☐ Unexecuted  
b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*  
c. ☒ With Power of Attorney      ☐ Without Power of Attorney  
d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission *(if applicable, all must be included)*  
a. ☐ Paper Copy  
b. ☐ Computer Readable Copy  
c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☐ Assignment Papers *(cover sheet & documents)*
9. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing  
☐ First Class      ☒ Express Mail *(Specify Label No.):* EV 263 454 825 US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted: 1
17. ☒ Additional Enclosures *(please identify below)*:

Application Data Sheet - 2 sheets  
Customer Number & Correspondence Address - 1 sheet  
Certificates of Mailing - 4 sheets

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

07/24/03

47224 U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

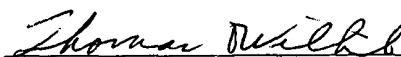
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
29678-1Total Pages in this Submission  
61**Fee Calculation and Transmittal****CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	62	- 20 =	42	x \$9.00	\$378.00
Indep. Claims	4	- 3 =	1	x \$42.00	\$42.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$375.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$795.00

- ☒ A check in the amount of \$795.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 23-2130 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: July 24, 2003

  
SignatureThomas D. Wilhelm (28,794)  
Wilhelm Law Service  
100 W. Lawrence St., Third Floor  
Appleton, WI 54911Customer Number:  
CC:23482  
PATENT TRADEMARK OFFICEPhone: (920) 831-0100  
FAX: (920) 831-0101

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

APPLICANTS: Kamaljit S. Paul

DOCKET NO:  
29678-1SERIAL NO:  
UnassignedFILING DATE:  
Concurrently HerewithEXAMINER:  
UnassignedGROUP ART UNIT:  
Unassigned

TITLE OF INVENTION:

SPINAL PLATE ASSEMBLY

I hereby certify that the following correspondence:

**Set of Formal Drawings - 5 sheets**

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on July 24, 2003.

EV 263 454 825 US

("Express Mail" Mailing Label Number)

Shelly Flunker

(Typed or printed name of person signing certificate)



(Signature)

*NOTE: Each paper must have its own Certificate of Mailing.*

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Unassigned

TITLE OF INVENTION:

SPINAL PLATE ASSEMBLY

I hereby certify that the following correspondence:

**Declaration For Patent Application - 1 sheet**

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on July 24, 2003.

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Unassigned

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**Application Data Sheet - 2 sheets**

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Concurrently HerewithEXAMINER:  
UnassignedGROUP ART UNIT:  
Unassigned

TITLE OF INVENTION:

SPINAL PLATE ASSEMBLY

I hereby certify that the following correspondence:

**Verified Statement (Declaration) Claiming Small Entity - 1 sheet**

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on July 24, 2003.

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29678-1  
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:  
Kamaljit S. Paul et al

Group Art Unit: Unassigned

Serial Number: Unassigned

Examiner: Unassigned

Filed: Concurrently herewith

For: SPINAL PLATE ASSEMBLY

**CUSTOMER NUMBER &  
CORRESPONDENCE ADDRESS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Here is the correspondence address which relates to the affixed customer number and above-referenced Application:

Thomas D. Wilhelm  
Wilhelm Law Service  
100 W. Lawrence Street  
Third Floor  
Appleton, WI 54911

Phone: 920-831-0100  
FAX: 920-831-0101

Respectfully submitted,  
Kamaljit S. Paul

By: Tom Wilhelm  
Thomas D. Wilhelm  
Attorney for Applicants  
(Reg. No. 28,794)

Customer Number:



23482

PATENT TRADEMARK OFFICE

July 24, 2003  
Appleton, Wisconsin

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